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Attorney Docket No. 0980/62251-C

Total Pages 3

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Shih-Yuan Wang

for

inventor(s)

MICROSTRUCTURED OPTICAL FIBER  
TRANSFORMER ELEMENT AND METHOD OF FABRICATION

Title of Invention

## APPLICATION ELEMENTS ENCLOSED:

1. X Specification (total pages 26 including:
- a. 8 pages of claims (40 claims)
  - b. 1 page(s) Abstract
2. X 9 sheets of    informal X formal drawings (Figs. 1-9B)
3. X Oath or declaration of Applicant(s) ( 2 total pages)
- a.    Newly executed (original)
  - b. X Unexecuted
  - c.    Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Item 14 completed)
4.    Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5.    Incorporation By Reference  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.    Microfiche Computer Program (Appendix)

## APPLICATION PARTS ENCLOSED:

7.    An assignment of the invention to    (cover sheet & document(s))
8.    37 CFR 3.73(b) Statement (when there is an assignee)
9. X Power of Attorney
- a.    Newly executed (original)
  - b. X Unexecuted
  - c.    Copy from a prior application

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10. \_\_\_ Information Disclosure Statement (IDS)/PTO-1449  
\_\_\_ Copies of IDS Citations
11. \_\_\_ A Preliminary Amendment
12. X Return Receipt Postcard (MPEP 503)
13. X Applicants hereby establish small entity status under 37 C.F.R. §1.9 and §1.27
14. X If a Continuing Application, check the appropriate box and supply the requisite information:
- ☐ Continuation of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_
- ☐ Divisional of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_
- ☒ Continuation-in-part (CIP) of prior application No.: 09/591,474 filed June 9, 2000
15. \_\_\_ Amend the specification by inserting before the first line of page one: --This is a \_\_\_ continuation  
\_\_\_ divisional of application Serial No. \_\_\_\_\_ filed \_\_\_\_--
16. \_\_\_ Priority of application No. \_\_\_\_\_ filed in \_\_\_\_\_ on \_\_\_\_\_. Applicant hereby claims  
priority under 35 U.S.C. § 119.
- \_\_\_ A certified copy of priority application No. \_\_\_\_\_ is enclosed
- \_\_\_ A certified copy of priority application No. \_\_\_\_\_ has been filed in prior application  
S.N. \_\_\_\_\_ filed \_\_\_\_\_
17. \_\_\_ Other (identify) \_\_\_\_\_

The filing fee is calculated as follows:

CLAIMS AS FILED. LESS ANY CLAIMS CANCELLED BY AMENDMENT

	Number Filed		Number Extra*		Rate		Fee	
					Small Entity	Other Entity	Small Entity	Other Entity
Total Claims	40 - 20	=	20	x	\$ 9	\$ 18	= \$ 180	\$ 0
Indep. Claims	5 - 3	=	1	x	\$ 40	\$ 80	= \$ 40	\$ 0
Multiple Dependent Claims Presented: Yes ___ No <u>X</u>					\$135	\$270	= \$ 0	\$ 0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee		\$ 355	\$ 710
					Total Fee		\$ 575	\$

- Ivan S. Kavrukov, Reg. No. 25,161  
Attorney for Applicants  
c/o Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
Tel.: (212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Shih-Yuan Wang

Serial No. :

Group Art Unit:

Date Filed : Concurrently Herewith

Examiner:

For : MICROSTRUCTURED OPTICAL FIBER TRANSFORMER  
ELEMENT AND METHOD OF FABRICATION

1185 Avenue of the Americas  
New York, N.Y. 10036

Assistant Commissioner for Patents

**Box Patent Application**  
Washington, D.C. 20231

**EXPRESS MAIL**  
**LETTER OF TRANSMITTAL**

Express Mail mailing label number: EL628789029US

Date of Deposit: February 12, 2001

I hereby certify that the above-identified application (consisting of a 26-page specification (including 8 pages of claims (40 claims) and a 1 page Abstract), and 9 sheets of formal drawings (Figs. 1-9B)), 3 copies of an Application Transmittal Form, unexecuted Declaration and Power of Attorney, and a check for the \$575 small entity filing fee, are being deposited with the United States Postal Service "Express Mail Post Office to Address-see" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



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Depositing Express Mail Material

Attorney for Applicants:  
IVAN S. KAVRUKOV, Reg. No. 25,161  
Cooper & Dunham LLP  
Tel: (212) 278-0400